

Matrix Fund Managers (FSP 44663)

Register of Authorised Representatives:

Category / Product	FSP	LP*	BM*	EK*	LM*	CSK	KE	LPT	CN	CR	SvdL	NN	AdIC	RK	JPM
Category I – Advisory and Intermediary Services:															
1.8	Securities and Instruments: Shares	X		X										X	X
1.9	Securities and Instruments: Money Market Instruments	X	X**	X											X
1.10	Securities and Instruments: Debentures and Securitized Debt	X	X**	X											X
1.11	Securities and Instruments: Warrants, etc.	X	X**	X										X	X
1.12	Securities and Instruments: Bonds	X	X**												X
1.13	Securities and Instruments: Derivative Instruments	X	X**	X										X	X
1.14	Participatory Interest in Collective Investments Schemes	X		X	X**			X**							X
1.26	Participatory Interest in Collective Investment Schemes in Hedge Funds			X	X**			X**							X
Category II – Discretionary Intermediary Services:															
2.5	Securities and Instruments: Shares	X		X		X	X					X	X	X	X
2.6	Securities and Instruments: Money Market Instruments	X	X	X		X	X		X	X	X				X
2.7	Securities and Instruments: Debentures and Securitized Debt	X	X	X	X		X		X						X
2.8	Securities and Instruments: Warrants, etc.	X	X	X			X						X	X	X
2.9	Securities and Instruments: Bonds	X	X			X	X	X	X	X	X				X
2.10	Securities and Instruments: Derivative Instruments	X	X	X	X	X	X		X	X	X	X	X	X	X
2.11	Participatory Interest in Collective Investments Schemes	X	X	X		X	X	X	X	X	X	X	X		X
2.13	Long-term Deposits	X	X				X		X	X	X		X		X
2.14	Short-term Deposits	X	X				X		X	X	X		X		X
2.18	Structured Deposits	X	X				X		X	X	X			X	X
2.20	Participatory Interest in Collective Investments Schemes in Hedge Funds	X	X	X	X	X	X		X	X	X	X	X	X	X
2A	Category IIA – Hedge Funds	X	X	X	X	X	X		X	X	X	X	X		X

Key:

X	Services under Supervision	CR	Craig le Riche
X	Approved Sub-Category	KE	Konstantin Egorov
*	Key Individuals	LPT	Lebogang Phuti-Thornton
**	Intermediary services only	CSK	Chris-Sandra Klaasen
FSP	Matrix Fund Managers (Pty) Limited (FSP 44663)	RK	Reza Khan
LP	Lourens Pretorius	NN	Ndumiso Ndebele
BM	Bruce Mommsen	SvdL	Cornelius (Sollie) van der Linde
EK	Eben Karsten	AdIC	Anthony Dornonville de la Cour
LM	Leon Michaelides	JPM	Jean-Pierre Matthews
CN	Carmen Nel		